

UTILITY PATENT APPLICATION TRANSMITTAL

Submit an original and a duplicate for fee processing
(Only for new nonprovisional applications under 37 CFR §1.53(b))

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

Attorney Docket No. 400926

First Named Inventor Tadao YAMAGUCHI

JC841 U.S. PTO
09/709461

11/13/00

APPLICATION ELEMENTS

1. ☒ Transmittal Form ☒ with Fee
2. ☒ Specification (including claims and abstract) [Total Pages 12]
3. ☒ Drawings [Total Sheets 4]
4. ☒ Combined Declaration and Power of Attorney [Total Pages 4]
 - a. ☒ Newly executed
 - b. ☐ Copy from prior application

[Note Box 5 below]

 - i. ☐ Deletion of Inventor(s) Signed statement attached deleting inventor(s) named in the prior application
5. ☐ Incorporation by Reference: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Microfiche Computer Program
7. ☐ Nucleotide and/or Amino Acid Sequence Submission
 - a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy
 - c. ☐ Statement verifying above copies

ACCOMPANYING APPLICATION PARTS

8. ☒ Assignment Papers (cover sheet and document(s))
9. ☐ Power of Attorney
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS)
 - ☐ Form PTO-1449
 - ☐ Copies of References
12. ☒ Preliminary Amendment
13. ☒ Return Receipt Postcard (Should be specifically itemized)
14. ☐ Small Entity Statement(s)
 - ☐ Enclosed
 - ☐ Statement filed in prior application; status still proper and desired
15. ☐ Certified Copy of Priority Document(s)
16. ☒ PrintEFS printout
17. ☐ Other:

18. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information in (a) and (b) below:
- (a) ☐ Continuation ☐ Divisional ☐ Continuation-in-part of prior application Serial No. _____
Prior application information: Examiner _____; Art Unit: _____
- (b) Preliminary Amendment: Relate Back - 35 USC §120. The Commissioner is requested to amend the specification by inserting the following sentence before the first line:
"This is a ☐ continuation ☐ divisional of copending application(s)
☐ Application No. _____, filed on _____
☐ International Application _____, filed on _____, and which designates the U.S."

APPLICATION FEES

| | | | | |
|---|--------------|--------------|-----------|----------|
| BASIC FEE | | | | \$710.00 |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | |
| Total Claims | 10 -20= | 0 | x \$18.00 | \$0.00 |
| Independent Claims | 4 - 3= | 1 | x \$80.00 | \$80.00 |
| <input type="checkbox"/> Multiple Dependent Claims(s) if applicable | | | +\$270.00 | \$ |
| Total of above calculations = | | | | \$790.00 |
| Reduction by 50% for filing by small entity = | | | | \$() |
| <input checked="" type="checkbox"/> Assignment fee if applicable | | | + \$40.00 | \$40.00 |
| TOTAL = | | | | \$830.00 |

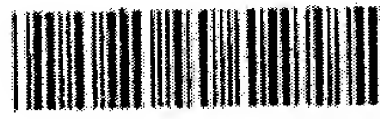
Attorney Docket No. 400926

ICB41 U.S. PTO

09/709461

11/13/00

- ### 23. CORRESPONDENCE ADDRESS



23548

PATENT TRADEMARK OFFICE

Name

Jeffrey A. Wyand; Reg. No. 29,458

Signature

Date _____

PATENT APPLICATION SERIAL NO. _____

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
FEE RECORD SHEET

11/14/2000 VYANG1 00000089 09709461

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| 01 FC:101 | 710.00 OP |
| 02 FC:102 | 80.00 OP |

PTO-1556
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